

Adviser Charging form

Please use this form for adviser charges under an existing SIPP, which are not covered by existing adviser remuneration arrangements.

1 Investor's details

Investor's name

Investor number

2 Adviser's details

Name of authorised individual

Full name of regulated organisation

3 Adviser charging

Please tell us if the adviser charges below:

- Are in addition to existing adviser remuneration arrangements (e.g. one-off advice not covered by existing arrangements)
- or
- Replace all existing remuneration arrangements.

Please confirm details of any adviser charges payable in respect of advice in relation to the SIPP (any boxes left blank will assumed to be zero):

Initial payment £ or % of initial contribution transfer value

Ongoing payments £ or % of fund value

Ongoing payments - frequency* Quarterly 6 monthly Annually

**Fees to be paid upon production of an invoice from the adviser*

Future transfer-in payments £ or % of each transfer in

Future contribution payments £ or % p.a. regular ad hoc all contributions

Are payments subject to VAT?*

Initial payments Yes No

Ongoing payments Yes No

**If left blank we will assume no*

Bank details

Source of payment (if other than SIPP bank account)

Bank or building society

Sort code

Account in the name(s) of

Account number Roll number

Payment reference

4 Adviser's declaration

- I confirm that the above charges are in accordance with an adviser charging structure which has been disclosed and agreed, and meets regulatory requirements.

Name

Signed

Position in organisation

Date

5 Investor's declaration

- I am satisfied that the amounts of adviser charges above have been fully and correctly completed and I authorise payment of these charges from the SIPP.

Name

Signed

Date

Notes

- Charges may also be payable to your adviser in respect of other advice related to the SIPP, for example advice on the investments made by the SIPP. Any such additional charges should be separately disclosed to you and validated by you.
- Payments can only be made to the adviser shown in our records as acting for the SIPP

Curtis Banks Limited,
3 Temple Quay,
Bristol, BS1 6DZ

T 0117 910 7910
F 0117 929 2514
curtisbanks.co.uk

This document shall be governed by and construed in accordance with the laws of England & Wales. It is based on our current understanding of regulations and can be subject to change as tax laws and legislation may change over time.

Call charges will vary. We may monitor and record calls.

If you're contacting us by email, please remember not to send any personal, financial or banking information because email is not a secure method of communication. Curtis Banks Ltd is the operator and administrator of the SIPP. Colston Trustees Limited is trustee of the SIPP. Curtis Banks Limited (registered number 6758825) and Colston Trustees Limited (registered number 6867955) are companies registered in England & Wales with their registered address at 3 Temple Quay, Bristol, BS1 6DZ. Curtis Banks Limited is authorised and regulated by the Financial Conduct Authority.
ADV CHARGE 0119

