

## Non Standard Investment Questionnaire

This questionnaire should be completed for any non standard investment you are planning with your pension scheme, so that we can assess the investment as being appropriate. Please note that this questionnaire does not apply to commercial property, unquoted equities or loans, please complete our separate questionnaires for those investments.

Please complete this questionnaire and sign the declaration and return it to Curtis Banks Ltd.

Please do not take any action, such as paying funds into your pension scheme for this investment, until we have confirmed that it is acceptable.

If you have any queries on the completion of this questionnaire, please call Curtis Banks Ltd on 0117 910 7910 (Bristol Office) or 0138 220 0306 (Dundee Office).

### 1 Your details

Name	<input type="text"/>
Name of scheme	<input type="text"/>
Plan number	<input type="text"/>

### 2 Details of Investment

Name of investment	<input type="text"/>
Amount of investment	£ <input type="text"/>
Type of investment	<input type="text"/>
Underlying assets within investment	<input type="text"/>
Name of company marketing the investment	<input type="text"/>

Please supply copies of any application form and literature for the investment.

1 Is the purpose of the investment to allow scheme members to use an asset unacceptable as a pension scheme investment?  Yes  No

2 How did you become aware of this investment?

3 Have you received any advice in making this investment?  Yes  No

If yes, please supply a copy of the advice or state who has advised you.

4 Are you buying the investment from someone connected to you?  Yes  No

**'Connected' means in relation to scheme members their relatives, spouses of relatives or anyone they are in partnership with and their spouses.**

**If the vendor is a connected party, an independent written valuation of the purchase price will be required.**

5 Is further investment by you planned or expected?  Yes  No

If yes, please supply details.

### 3 Commercial Prudence

How did you determine your level of investment?

What is your investment term and exit strategy

Please state why you feel that this will be a good investment for your pension scheme:

Do you have a good understanding of all the issues involved in this investment?  Yes  No

If you answered no, you are strongly recommended to seek independent financial advice.

### 4 Declaration (to be signed by all members)

Curtis Banks Ltd will rely on this declaration. You should read it carefully and if you do not understand any part of it, please ask us for further guidance.

- I/we declare that to the best of my knowledge and belief all statements made in this questionnaire (whether in my handwriting or not) are accurate and complete.
- I/we accept that this investment may involve a high level of risk, may be unregulated (and not covered by any compensation arrangements), may be illiquid and may be difficult to monitor.
- I/we confirm that I am solely responsible for the outcome of this investment.
- I/we understand that Curtis Banks Ltd and its trustee company Colston Trustees Limited have been given no advice in relation to the suitability of this investment and I accept that their liability shall be limited to the assets of the pension scheme.
- I/we confirm that I will monitor this investment to ensure that it will not lead to tax charges or penalties being imposed by HM Revenue & Customs and I/we accept liability for any such charges or penalties.
- I/we consent to the published charges of Curtis Bankd Ltd for processing and administering this investment.

1 Print name

Signature

2 Print name

Signature

3 Print name

Signature

4 Print name

Signature

Date

When completed, this questionnaire together with any supporting documents should be returned to:

Bristol Office  
Curtis Banks Limited,  
3 Temple Quay,  
Bristol, BS1 6DZ

Dundee Office  
Curtis Banks Ltd  
Suite 3 West Port House,  
144 West Marketgait, Dundee  
DD1 1NJ

Curtis Banks Limited, T 0117 910 7910  
3 Temple Quay, F 0117 929 2514  
Bristol, BS1 6DZ  
curtisbanks.co.uk

This document shall be governed by and construed in accordance with the laws of England & Wales. It is based on our current understanding of regulations and can be subject to change as tax laws and legislation may change over time.

Call charges will vary. We may monitor and record calls.  
If you're contacting us by email, please remember not to send any personal, financial or banking information because email is not a secure method of communication.

Curtis Banks Ltd is the operator and administrator of the SIPP. Colston Trustees Limited is trustee of the SIPP  
Curtis Banks Limited (registered number 6758825) and Colston Trustees Limited (registered number 6867955) are companies registered in England & Wales with their registered address at 3 Temple Quay, Bristol, BS1 6DZ. Curtis Banks Limited is authorised and regulated by the Financial Conduct Authority.  
NON STD INV 02.15

