

## LOAN QUESTIONNAIRE

**Please complete this questionnaire and sign the declaration and return it to Curtis Banks PLC.**

**If you have any queries on the completion of this questionnaire, please call Curtis Banks PLC on (0117)9107910.**

Name of scheme

### 1 Details of Borrower

Please complete Section A if the borrower is a business, otherwise please complete Section B for loans to an individual.

#### Section A

Name of business

Registered address

Postcode

Registered number

Country of registration

Type of business (e.g. trading  
Company, partnership etc)

Nature of business

Details of shareholders or partners (if there is more than one class of share, please show details separately)

Name	Address	No of shares	Director?	Connected? (see below)

“Connected” means in relation to scheme members their relatives, spouses of relatives or close business partners and their spouses.

Please continue on a separate sheet if there are more shareholders or partners.

Details of any company directors who are not shareholders

Name	Address	Connected?

Please continue on a separate sheet if there are more directors.

Will you become a director/partner of the business?  YES  NO

Will you become a shareholder in the business?  YES  NO

Does the business have an interest in any other entity?  
(e.g. An interest or arrangement with a connected party/business)  YES  NO

### Section B

Name of borrower

Address of borrower   
Postcode

Is the borrower connected to you?  YES  NO

### 2 Details of Loan

Amount of Loan  £

Rate of interest

Loan Term

Purpose of Loan (please be as specific as possible)

Frequency of repayments

Details of security to be provided by the borrower

Details of the solicitor acting for the trustees in preparation of the loan documents (or please state if you would like us to quote a fee for this)

### 3 Commercial Prudence

Please supply copies of all relevant information on the borrower including:

- For a company or other business, the most recent annual accounts and latest management accounts, any business plan available and future financial forecasts.
- For an individual, details of their net wealth and future income.

Please also state why you feel that this will be a good investment for your pension scheme:

### 4 Declaration (to be signed by all member trustees)

**Curtis Banks PLC will rely on this declaration. You should read it carefully and if you do not understand any part of it, please ask us for further guidance.**

- I/we declare that to the best of my knowledge and belief all statements made in this questionnaire (whether in my handwriting or not) are accurate and complete
- I/we have been given the opportunity to read and understand the Curtis Banks guidance notes on [Investments](#) and [Loans from a SIPP or SSAS](#) and believe that the investment set out above is an acceptable pension scheme investment and will continue to be in the future
- I/we confirm that I am solely responsible for the outcome of this investment
- I/we understand that Curtis Banks PLC and its trustee company Colston Trustees Limited have given no advice in relation to the suitability of this investment and I accept that their liability shall be limited to the assets of the pension scheme
- I/we confirm that I will monitor this investment to ensure that it will not lead to tax charges or penalties being imposed by HM Revenue & Customs and I/we accept liability for any such charges or penalties.

**Signature(s)**

**Name(s)**

**Date**

**When completed, this questionnaire together with any supporting documents should be returned to:**

**Curtis Banks PLC, Colston Tower, Colston Street, Bristol, BS1 4UX**