



# CURTIS BANKS GROUP DUE DILIGENCE

Recommend a SIPP provider with confidence



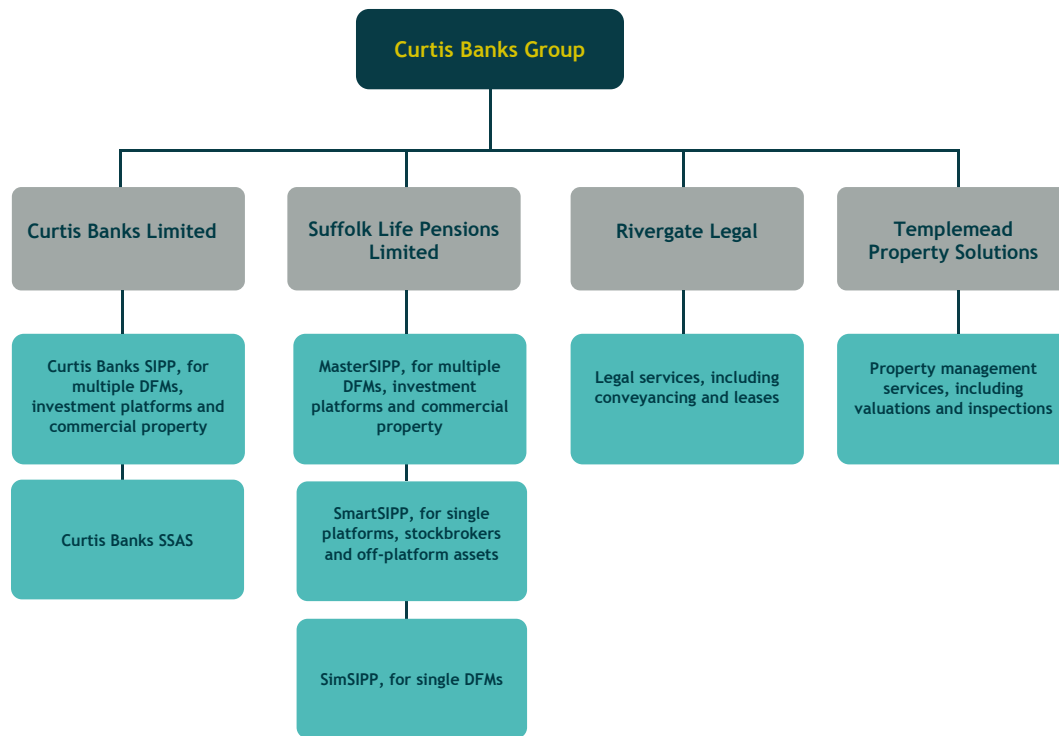
March 2019

**Your guide to Curtis Banks and Suffolk Life**

[curtisbanks.co.uk](http://curtisbanks.co.uk)

# CURTIS BANKS GROUP OVERVIEW

Curtis Banks Group comprises a number of businesses providing services for SIPPs and SSAS.



Curtis Banks was founded in 2009, and has grown strongly through both organic new business and acquisitions.

As at 30 June 2018, the key metrics of the business were:

- 77,552**      **SIPPs under administration**
- 3,512**      **Growth in new SIPPs in 2018**
- £25.1bn**    **Assets under administration**

All new business is only accepted via UK authorised financial advisers.

Curtis Banks employs approximately 570 staff across three UK offices.



Curtis Banks has a Financial Strength Assessment of B (Strong) from AKG, as well as a 4 star rating for Service, Image & Strategy and Business Performance.

## FINANCIAL STABILITY

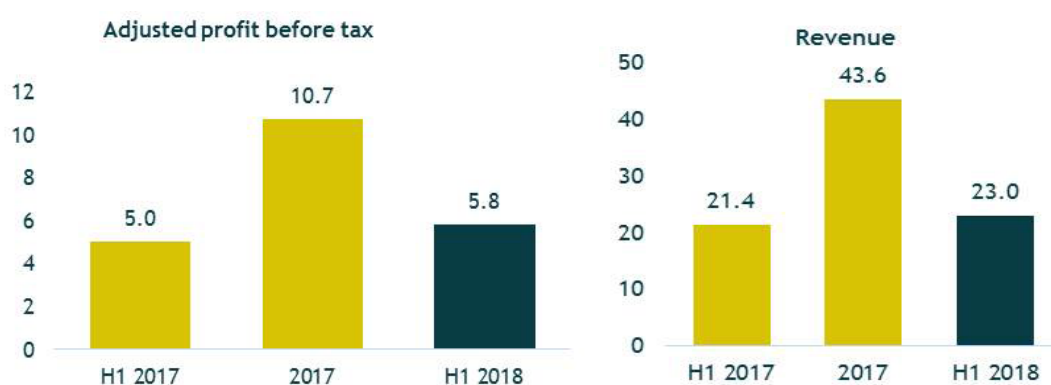
Curtis Banks Group is listed on the AIM market of the London Stock Exchange. 54% of the share capital is in public hands. The following interests in three percent or more of the issued ordinary share capital, excluding treasury shares, as at 30 June 2018 are:

Name	Percentage
Christopher Banks <sup>1</sup>	27.23%
Liontrust Investment Partners LLP	12.01%
Rupert Curtis <sup>2</sup>	11.84%
BlackRock Inc	6.65%
Paul Tarran	6.52%
Canaccord Genuity Group Inc	5.87%
Pie Funds Management Ltd	3.70%

<sup>1</sup> Includes 7.43% held by Christopher Banks on trust for his spouse, Alison Banks.

<sup>2</sup> Includes 5.94% held by Sally Curtis, Rupert Curtis' spouse.

The Group is well capitalised in excess of regulatory requirements, and is profitable with a track record of paying a sustainable dividend.



The Group's regulated subsidiary companies submit regular returns to the FCA and the PRA relating to their capital resources. At 30 June 2018 the total regulatory capital requirement across the Group was £12m and the Group had an aggregate surplus of £8.6m across all regulated entities.

In addition to this it is Group internal policy for regulated companies within the Group to hold at least 130% of their regulatory capital resulting in the aggregate surplus reducing to £4.3m.

# Meet our experts

## Bristol

3 Temple Quay  
Bristol BS1 6DZ  
T: 0117 910 7910

## Ipswich

153 Princes Street  
Ipswich IP1 1QJ  
T: 0370 414 7000

## Dundee

West Port House, 144 West Marketgait  
Dundee DD1 1NJ  
T: 01382 200306



**Dave Stratton**  
Group Sales Director  
M: 07909 115614

E: dave.stratton@curtisbanks.co.uk



**Jon Haslam**  
National Sales Manager  
M: 07909 115622

E: jon.haslam@curtisbanks.co.uk



**Charles Dewey**  
Head of Key Accounts &  
Third Party Relationships  
M: 07545 642736

E: charles.dewey@curtisbanks.co.uk

### Business Development Managers



**Stuart Eve**  
London & South East  
M: 07469 859967

E: stuart.eve@curtisbanks.co.uk



**Dan Smith**  
London & South East  
M: 07837 211859

E: daniel.smith@curtisbanks.co.uk



**Lauren Bishop**  
London & South East  
M: 07557 585830

E: lauren.bishop@curtisbanks.co.uk



**Emma Moran**  
North West  
M: 07557 216704

E: emma.moran@curtisbanks.co.uk



**Vikesh Thakkar**  
Midlands  
M: 07384 119184

E: vikesh.thakkar@curtisbanks.co.uk



**Kennedy Chadwick**  
North East  
M: 07384 816899

E: kennedy.chadwick@curtisbanks.co.uk



**Gareth Stickland**  
South West  
M: 07384 119051

E: gareth.stickland@curtisbanks.co.uk



**Nick Giles**  
Sales Executive  
T: 01473 296 950

E: nick.giles@curtisbanks.co.uk



**Josh Legg**  
Sales Executive  
T: 0117 906 9668

E: josh.legg@curtisbanks.co.uk

Curtis Banks Group,  
3 Temple Quay,  
Bristol, BS1 6DZ

T 0117 910 7910  
F 0117 929 2514  
curtisbanks.co.uk

Call charges will vary. We may monitor and record calls.

If you're contacting us by email, please remember not to send any personal, financial or banking information because email is not a secure method of communication.  
SL050.201903 March 2019

