

## Adviser Charging form

Please use this form for adviser charges under an existing SIPP, which are not covered by existing adviser remuneration arrangements.

### 1 Client's details

Client's name

Plan number

### 2 Adviser's details

Name of authorised individual

Full name of regulated organisation

### 3 Adviser charging

Please tell us if the adviser charges below:

- Are in addition to existing adviser remuneration arrangements (e.g. one-off advice not covered by existing arrangements) or
- Replace all existing remuneration arrangements.

Please confirm details of any adviser charges payable in respect of advice in relation to the SIPP (any boxes left blank will assumed to be zero):

Initial payment	£ <input type="text"/>	or	<input type="text"/> %	of initial contribution	transfer value
Ongoing payments	£ <input type="text"/>	or	<input type="text"/> %	of fund value	
Ongoing payments - frequency*	<input type="checkbox"/> Quarterly	<input type="checkbox"/> 6 monthly	<input type="checkbox"/> Annually		
*Fees to be paid upon production of an invoice from the adviser					
Future transfer-in payments	£ <input type="text"/>	or	<input type="text"/> %	of each transfer in	
Future contribution payments	£ <input type="text"/>	or	<input type="text"/> %	p.a.	<input type="checkbox"/> regular <input type="checkbox"/> ad hoc <input type="checkbox"/> all contributions

Are payments subject to VAT?\* Initial payments  
 Yes  No

Ongoing payments  
 Yes  No

\*If left blank we will assume no

#### Bank details Must be completed

Bank or building society

Sort code

Account in the name(s) of

Account number

Roll number

Payment reference

## 4 Adviser's declaration

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- I confirm that the above charges are in accordance with an adviser charging structure which has been disclosed and agreed, and meets regulatory requirements.

Name

Signed

Position in organisation

Date

## 5 Client's declaration

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- I am satisfied that the amounts of adviser charges above have been fully and correctly completed and I authorise payment of these charges from the SIPP.

Name

Signed

Date

## Notes

- Charges may also be payable to your adviser in respect of other advice related to the SIPP, for example advice on the investments made by the SIPP. Any such additional charges should be separately disclosed to you and validated by you.
- Payments can only be made to the adviser shown in our records as acting for the SIPP.

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**Call charges will vary. We may record and monitor calls.**

If you're contacting us by email, please remember not to send any personal, financial or banking information because email is not a secure method of communication.

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